

12/08/00

JC912 U.S. PTO

12-11-00

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PATENT APPLICATION TRANSMITTAL LETTER
(Small Entity)

Docket No.
3287

TO THE ASSISTANT COMMISSIONER FOR PATENTS

Transmitted herewith for filing under 35 U.S.C. 111 and 37 C.F.R. 1.53 is the patent application of:

Thomas A. Mazza et al.

For: TRADING SYSTEM CONTROLLER

Enclosed are:

- ☒ Certificate of Mailing with Express Mail Mailing Label No. **EL647834658US**
- ☒ **Seven Informal** sheets of drawings.
- ☐ A certified copy of a _____ application.
- ☒ Declaration ☒ Signed. ☐ Unsigned.
- ☒ Power of Attorney
- ☐ Information Disclosure Statement
- ☐ Preliminary Amendment
- ☒ **One** Verified Statement(s) to Establish Small Entity Status Under 37 C.F.R. 1.9 and 1.27.
- ☐ Other:

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CLAIMS AS FILED

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	14	- 20 =	0	x \$9.00	\$0.00
Indep. Claims	2	- 3 =	0	x \$39.00	\$0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
BASIC FEE					\$355.00
TOTAL FILING FEE					\$355.00

- ☒ A check in the amount of **\$355.00** to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. **14-1131** as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____ as filing fee.
- ☒ Credit any overpayment.
- ☐ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
- ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

I certify that this application and enclosed fee is being deposited on December 8, 2000 with the U.S. Postal Express Mail Service under 37 C.F.R. 1.10 and is addressed to the Box PATENT APPLICATION; Assistant Commissioner for Patents, Washington DC 20231

Elizabeth L. Schiller
Signature of Person Mailing Correspondence
Elizabeth L. Schiller

Typed or Printed Name of Person Mailing Correspondence
EL647834658US

"Express Mail" Label Number

Michael P. Mazza
Signature

Michael P. Mazza Reg. No. 34,092
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Dated: December 8, 2000